
CUSTOMER PRIVACY NOTICE

TRG Capital Management, Inc., (“TRG”), an independent state Registered Investment Advisor, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the Investment Management services provided by TRG. As you know, we typically gather financial information from you to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below:

- TRG does not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law. For example, federal and state regulators may review firm records (including client information) in the course of discharging their duties.
- We restrict access to nonpublic personal information about you to those employees, affiliates, and independent third parties who need to know that information to provide you with products and/or services. For example, we may share information about you with a brokerage firm, custodian or third party service provider in order to open an account, execute securities transactions on your behalf, and provide for ongoing administration of your account. We also may, with your written permission, discuss your financial situation with your attorney or tax professional.
- Our employees are required to protect the confidentiality of your personal information. Employees sign a Confidentiality Agreement annually outlining our policies. Employees are subject to disciplinary measures if they do not comply with our policies.
- We maintain physical, electronic and procedural safeguards that comply with federal standards to protect your nonpublic personal information. These include:
 - a. Password-protecting each employee’s computer, as well as the company server, with random generated passwords which are changed on a monthly basis.
 - b. Maintaining a firewall and anti-virus software to prevent access to your electronic records from outside the firm
 - c. Use of a secure e-mail service to protect client information shared electronically
 - d. Requiring verbal authorization for any withdrawal requests received through unsecured e-mail or left on voice mail.
 - e. A building alarm system to protect against theft of records outside of normal business hours
 - f. Locking offices containing client files during non-business hours
 - g. Shredding documents periodically and after their retention period has expired
 - h. Restricting access to your electronic records from within our firm by securing Account User ID and Password information and setting permissions based on job function.

- The categories of nonpublic personal information we collect depends upon the scope of services provided. Typically, this may include but not be limited to: name, address, social security number, date of birth and information about your investments and financial situation. We may also ask for additional information if we believe it will help us perform the tasks you have hired us to perform.
- We collect nonpublic personal information about you from the following sources:
 - a. Information provided to us by you on applications or other forms in connection with our products or services
 - b. Information about your transactions with us, our affiliates, or others
 - c. Information from consumer reporting agencies
- We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.
- Personally identifiable information will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained in accordance with federal and state securities regulations. After this required period of record retention, all such information will be destroyed.
- If at any time you find that your personal information is inaccurate, or you need to make a change to that information, please contact our offices promptly so that we can update our records.

If you have any questions or concerns about this privacy notice please contact:

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